# Appendix 3: Additional Plan Code Form

If you need an additional plan code(s) for a product(s) in addition to those plan codes in Item 19, Plan, of the statistical plan for life insurance mortality, fill in this form using plan codes in the range 300 to 999. Your data submission should reflect the plan codes in this form. Make as many copies as necessary for your individual life business and submit in addition to policy-level information. When this form is used, it must be sent to the Experience Reporting Agent at the time that data is submitted.

|  |  |  |
| --- | --- | --- |
| Completed by: | Title: |  |
| Company: | NAIC Company Code: | Date: |
| Phone Number: | Email: |  |

## Add comments or attachments where necessary.

Enter unique three-digit plan codes for each product.

|  |  |  |
| --- | --- | --- |
| **Plan Code For Product I** | **Plan Code for Product II** | **Plan Code for Product III** |

Enter specific plan names for each product.

|  |  |  |
| --- | --- | --- |
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1. General Product Information

**Product I Product II Product III**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1. In what year was each product introduced? |  | | |  | | |  | | |
| 2. Briefly describe the product. |  |  | |  |  | |  |  | |
|  |  |  |  |  |  |
|  |  |  |
| 3. Enter three-digit plan code in the range 300 to 999. |  | | |  | | |  | | |

1. For the products listed, please fit each product into one of the categories below.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Categories for Product I** | | **Categories for Product II** | | **Categories for Product III** | |
| 1 | Traditional Whole Life Plans | 1 | Traditional Whole Life Plans | 1 | Traditional Whole Life Plans |
| 2 | Term Insurance Plans | 2 | Term Insurance Plans | 2 | Term Insurance Plans |
| 3 | Universal Life Plans (excl. Variable and excl. Secondary Guarantees) | 3 | Universal Life Plans (excl. Variable and excl. Secondary Guarantees) | 3 | Universal Life Plans (excl. Variable and excl. Secondary Guarantees) |
| 4 | Universal Life Plans with Secondary Guarantees (excl.  Variable) | 4 | Universal Life Plans with Secondary Guarantees (excl.  Variable) | 4 | Universal Life Plans with Secondary Guarantees (excl.  Variable) |
| 5 | Variable Life Plans (without Secondary Guarantees) | 5 | Variable Life Plans (without Secondary Guarantees) | 5 | Variable Life Plans (without Secondary Guarantees) |
| 6 | Variable Life Plans with Secondary Guarantees | 6 | Variable Life Plans with Secondary Guarantees | 6 | Variable Life Plans with Secondary Guarantees |
| 7 | Nonforfeiture | 7 | Nonforfeiture | 7 | Nonforfeiture |
| 8 | Other | 8 | Other | 8 | Other |